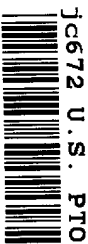


61-03-00

A

12/30/99



Docket Number E-906
 Pitney Bowes Inc.
 35 Waterview Drive
 P.O. Box 3000
 Shelton, CT 06484-8000
 December 30, 1999



BOX PATENT APPLICATION
 ASSISTANT COMMISSIONER FOR PATENTS
 WASHINGTON, D.C. 20231

Sir:

Transmitted herewith for filing is the patent application of

Inventor: Raymond G. Mather et al.

For: METHOD AND SYSTEM FOR TRACKING DISPOSITION STATUS OF AN ITEM TO BE
 DELIVERED WITHIN AN ORGANIZATION

Enclosed are:

32 pages comprising the specification, claims, and abstract.
 19 sheets of drawings.
 A Certificate of Mailing by Express Mail.
 A Declaration and Power of Attorney.

Fees calculated as follows:

Basic Fee							\$ 760.00
Claims Fee	Number Filed		Number Extra		Rate		
Total Claims	24	- 20 =	4	X	\$18.00	=	\$ 72.00
Independent Claims	3	- 3 =	0	X	\$78.00	=	\$ 0.00
Multiple Dependent Claims					\$260.00	=	\$
Total Filing Fee							\$ 832.00

Please charge our Deposit Account Number **16-1885** in the amount of \$ 832.00 for the filing fee.

The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Account Number **16-1885**.

A copy of this Transmittal Letter is enclosed for use in charging the Deposit Account.

A handwritten signature in cursive script that reads "Charles R. Malandra, Jr."

Charles R. Malandra, Jr.
 Reg. No. 31,038
 Attorney of Record
 Telephone (203) 924-3217

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of:) Attorney Docket No.: E-906
Raymond G. Mather et al.) Group Art Unit:
Serial No.:) Examiner:
Filed: Concurrently herewith) Date: December 30, 1999
Title: METHOD AND SYSTEM FOR TRACKING DISPOSITION STATUS
OF AN ITEM TO BE DELIVERED WITHIN AN ORGANIZATION

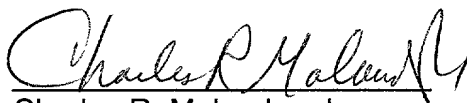
CERTIFICATE OF MAILING BY EXPRESS MAIL

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

I hereby certify that the attached Transmittal Letter, Patent Application, Declaration, and Drawings, were deposited with the U.S. Postal Service for delivery by Express Mail on December 30, 1999. The number of the Express Mail mailing label is EJ706015489US.

Respectfully submitted,



Charles R. Malandra, Jr.
Reg. No. 31,038
Attorney of Record
Telephone (203) 924-3217

PITNEY BOWES INC.
Intellectual Property and
Technology Law Department
35 Waterview Drive
P.O. Box 3000
Shelton, CT 06484-8000

**METHOD AND SYSTEM FOR TRACKING DISPOSITION STATUS
OF AN ITEM TO BE DELIVERED WITHIN AN ORGANIZATION**

Cross-Reference to Related Application

5

Reference is made to Application Serial No. 08/998,560, (Attorney Docket No. E-689) entitled "SYSTEM FOR TRACKING THE RECEIPT AND INTERNAL DELIVERY OF ITEMS SUCH AS PACKAGES" by Clint F. Brandien et al, assigned to the assignee of this application and filed on December 29, 1997.

10

Technical Field

15

The present invention relates generally to the receipt of accountable items such as packages by an establishment or organization such as a hospital, hotel, corporation, school or the like and the delivery of the received items to intended recipients within the organization and, more specifically, to the method and system for tracking the receipt and internal movement resulting in the final disposition of the items.

20

Background of the Invention

25

Businesses and other large organizations typically have a mailroom or delivery department which serves as a central point for the receipt of items such as mail pieces, parcels, and packages. The received items must then be delivered to the intended recipients within the organization. For large organizations, which may have hundreds or even thousands of employees, the internal delivery is a complex issue. In a large organization, not only the received item must be delivered as soon as possible, but the internal delivery or other disposition status of the items must also be

recorded and updated so that the whereabouts of the received items can be known instantly, if desired. The tracking of the receipt and the internal disposition of the items is useful for the management to evaluate the performance of the delivery department. Moreover, the tracking of the delivery status can eliminate the unnecessary frustration and wrongful accusation toward the person responsible for the internal delivery when an item is misplaced or lost after delivery.

Systems have been developed to track the receipt and internal delivery of items within large organization. One such known system is marketed by the assignee of the subject invention under the trade name of ARRIVAL.

ARRIVAL is a software system which typically runs on a microcomputer to maintain a database of items received by a large organization. Typically, the record of a received item includes information such as a tracking number provided by the carrier who delivered the item to the organization, the date and time received, the name of the carrier, the name of the employee who actually received the item from the carrier, the name of the intended recipient, date and time of the internally delivery of the received item and name of the person who signs for the internally delivered item. Typically, the tracking number is provided by the carrier in a barcode form so that it can be read with an optical scanner known as a barcode reader.

Preferably, the above-mentioned tracking data collection system includes a data processing unit which is programmed to maintain a database of records relating to received items, each of the records identifying an internal delivery address and delivery status for one of the received items, and to generate a manifest which identifies selected received items for internal delivery. The system also includes a portable data terminal which has the capability to communicate with the data processing system and which is programmed to input internal delivery information for

the selected received items, and to communicate the internal delivery information to the data processing system.

The portable data terminal has been disclosed in the patent application as identified in the cross-reference.

5

While the portable data terminal and the data processing system have proven to be successful for the intended purposes, there are areas in which improvements can still be made.

10

It has been recognized that each organization has its own preferred format for recording data relating to the receipt and internal delivery of an item. Accordingly, it is an object of the subject invention to provide a portable data terminal for tracking the receipt and the internal delivery status of items such as packages, wherein the data collection format for recording the tracking information can be created and/or modified by the user of the portable data terminal, in accordance with the user's needs.

15

Summary of the Invention

20

The first aspect of the present invention is to provide a portable data terminal for tracking the receipt of an item such as a package, and the status of internal movement resulting in delivery or other final deposition of the received item within an organization, wherein the item is received from a carrier and is to be delivered to an intended recipient within the organization and wherein the item has an identification symbol such as a barcode or a tracking number to identify the item. The portable data terminal includes an optical device for reading the identification symbol, a data entry device for data entry, and a display screen for displaying information, wherein the information includes receipt information displayed in a receiving data collection

25

format and internal movement information displayed in a delivery data collection format. The portable data terminal is programmed such that the data collection formats can be created and/or modified by a user according to the user's needs.

5 Preferably, the portable data terminal is capable of communicating with a base station in order to download electronic files for upgrading the data collection formats.

10 Both the receiving data collection format and the delivery data format include a plurality of entry listing types and each entry listing type includes a plurality of entry items such as the carrier name, the carrier barcode, the employee name, the sender name, and the PO number, wherein these entry items are selectable at the base station by the user so that the selected entry items can be included in a certain entry listing type in order to create a new data collection format or modify an existing data collection format.

15 The second aspect of the present invention is to provide a system for tracking the receipt of an item such as a package, and the status of internal movement resulting in delivery or other final deposition of the received item within an organization, wherein the item is received from a carrier and is to be delivered to an intended recipient within the organization. The system includes: a) a portable data terminal programmed to record information regarding the receipt and the internal movement of the item, wherein the receipt information and the internal movement information are recorded in a data collection format; and b) a base station capable of communicating with the portable data terminal for uploading electronic files to the portable data terminal in order to modify the data collection format. It should be noted that the term "carrier" herein is used in the broadest sense in that the carrier can be a courier, a sender or any person who delivers the item to the organization.

20

25

Preferably, the base station communicates with the portable data terminal via a connection cradle. It is possible that the base station communicates with the portable data terminal through electrical cables, optical links, infrared signals, radio signals or any wireless means. It is also possible that the base station serves as a docking station for the portable data terminal so as to allow the portable data terminal to communicate with the base station through a connection port.

Preferably, the system further includes a data processing unit capable of communicating with the portable data terminal, wherein the data processing unit maintains a database of records so as to allow the data processing unit to retrieve the information recorded in the portable data terminal and store the retrieved information in the database. Preferably, the database of records includes records of received items, records identifying the address of the intended recipient and the internal delivery status for a corresponding one of the received items; records of recipient names. The data processing unit is also programmed to generate a manifest of selected received items; and generate a list of selected recipient names.

The third aspect of the present invention is to provide a method for tracking the receipt of an item such as a package, and the status of internal movement resulting in delivery or other final disposition of the received item within an organization, wherein the item is received from a carrier and is to be delivered to an intended recipient within the organization. The method includes the steps of a) recording information regarding the receipt of the item; and b) recording information regarding the internal movement of the item, wherein the receipt information and the delivery movement information are recorded with a data collection format which is upgradable in order to allow the user to modify the data format according to the user's needs.

Preferably, the data format includes a plurality of entry listing types, and each listing type includes a different combination of entry items such as the carrier name, the carrier barcode, the employee name, the sender name, the PO number and the actual recipient. The method further includes the step of adding or deleting the entry items in order to create or modify an entry listing type so as to modify the data collection format.

Preferably, the method further includes the step of storing the recorded information in a database.

The subject invention will become apparent upon reading the description taken in conjunction with Figures 1-24.

Brief Description of the Drawings

Figure 1 is a schematic representation showing the different components of the receipt and delivery tracking system, according to the present invention.

Figure 2 is a schematic representation of a display screen showing an exemplary Receiving Menu of the portable data terminal, according to the present invention.

Figure 3A is a schematic representation of a display screen showing a plurality of listing types for the Receiving data collection formats.

Figure 3B shows the different combination of the data entry fields under each listing type of the Receiving data collection formats.

Figure 4 is a schematic representation of a display screen showing an exemplary Format Contents screen which lists the data entry fields of a selected listing type for Receiving.

5 Figure 5 is a schematic representation of a display screen showing an exemplary Format Details screen which provides the detailed information regarding the Format Contents of Figure 4.

10 Figures 6A and 6B are schematic representations of a display screen showing an exemplary Receiving Parcel screen which allows a user to enter information regarding the receipt of an item.

15 Figure 7 is a schematic representation of a display screen showing an exemplary popup list of carriers.

20 Figure 8 is a schematic representation of a display screen showing an exemplary Review Receipts screen which gives a summary of recorded information regarding a received item that the user has processed or entered.

25 Figure 9 is a schematic representation of a display screen showing an exemplary Add Record screen which allows a user to add a record under a selected carrier.

 Figure 10 is a schematic representation of a display screen showing an exemplary Delivery Menu of the portable data terminal.

 Figure 11A is a schematic representation of a display screen showing a plurality of listing types for the Delivery data collection formats.

Figure 11B shows a different combination of the data entry fields under each listing type of the Delivery data collection formats.

5 Figure 12 is a schematic representation of a display screen showing an exemplary Parcel Delivery screen which allows a user to enter information regarding the delivery of an item.

10 Figure 13 is a schematic representation of a display screen showing an exemplary Complete Delivery screen which allows a user to enter the name and the signature of the person who actually signs for the delivered item.

15 Figure 14 is a schematic representation of a display screen showing an exemplary Delivery List screen which allows the user to review all deliveries recorded.

20 Figure 15 is a schematic representation of a display screen showing an exemplary User Login screen which allows a user to login and logout the portable data terminal.

Figure 16 is a schematic representation of a display window on the base station showing the configuration utility.

25 Figure 17 is a schematic representation of a display window showing an exemplary data collection format generator window which allows the user to edit a data collection format as shown in Figures 3A and 11A.

Figure 18 is a schematic representation of a display window showing an

exemplary Format List window which provides a list of data collection formats to allow the user to select and edit a format if so desired.

Figure 19 is a schematic representation of a display window showing an
5 exemplary Add window which allows the user to add a new data collection format.

Figure 20 is a schematic representation of a display window showing an
exemplary Entry/Edit window that allows the user to select fields which are to be
assigned to the package selection of data collection.

Figure 21 is a schematic representation of a display window showing an
exemplary Properties screen that allows the user to assign "Prompt Names" to the
selected fields.

Figure 22 is a schematic representation of a display window showing an
exemplary Entry/Edit window that allows the user to select fields which are to be
assigned to the package selection of data collection related to the Delivery event
activities.

Figure 23 is a schematic representation of a display window showing an
exemplary Properties screen related to the Delivery event activities.

Figure 24 is a schematic representation of a display window showing an
exemplary popup list format generator window which allows the user to create an
empty popup list which can be later filled in with entry items in the portable data
terminal.

Detailed Description

As shown in **Figure 1**, the tracking system **10** comprises a data processing unit **12**, a base station **20**, a portable data terminal **30** and a connection cradle **50**.

5 The data processing unit **12** maintains a plurality of databases **14**, which may include a database of records relating to received items and identifying an internal delivery address and internal delivery status for each received item; a database of names and internal addresses of recipients or employees, a database of carriers; a database of senders; and a database of received items. The received items may include
10 packages, parcels, mail pieces and other accountable items. The data processing unit **12** can also be used to print a manifest of selected received items if so desired. It should be noted that the databases **14** as described herein are only examples.

The portable data terminal **30** is programmed to record the receipt of items,
15 record and validate the status information regarding the internal movement of the received items, and associate the received items with the recipients, the senders and other related descriptive data elements using barcode scanning, popup, keyboard entry, or look-up tables. The elements of data that can be recorded are configurable using a plurality of different data collection formats. The portable data terminal **30** is
20 also programmed to acquire a signature for one item or a group of items being delivered. Furthermore, the portable data terminal **30** can be programmed to receive a manifest from the data processing unit **12** and communicate the internal delivery status information to the data processing unit **12**. As shown, the portable data terminal **30** has a communication device **32** so as to allow the portable data terminal
25 **30** to send or receive communication signals to or from an external device, such as the data processing unit **12**. The portable data terminal **30** further includes a display screen **34** for displaying information, a hand-entry area **36** for receiving hand-written data. The data terminal **30** has a plurality of menu icons **37** and application buttons

38 to allow a user to select different programs and options. For example, the user can select a Receiving program to record or review information regarding the receipt of an item, or a Delivery program to record or review information regarding the delivery of a received item. The user can manually input data into the portable data terminal 30 by using an on-screen keyboard (not shown) by tapping the target areas 35. Preferably, the portable data terminal 30 is equipped with a laser barcode scanner 40 which can be turned on by pressing the trigger button 42. A scan button 46 is used to start the scanning of a barcode. A laser decode LED 44 is used to indicate that the scanner is on and the scanning of the barcode is completed. In order to transfer the information recorded by the portable data terminal 30 to an external device, a connection cradle 50 is provided. On the connection cradle 50, another communication device 52 is provided to receive the communication device 32 of the portable data terminal 30. For example, once the portable data terminal 30 is mounted on the connection cradle 50, the connection cradle 50 enables communications between the portable data terminal 30 and the data processing unit 12. The connection cradle 50 also enables communication between the portable data terminal 30 and the base station 20. It should be noted that the portable data terminal 30 can also communicate with the data processing unit 12 and the base station 20 through electrical cables, optical links, infrared signals, radio signals or other wireless means.

The portable data terminal 30 is programmed to record information in a certain data collection format. The base station 20 is mainly used to create a new data collection format or modify an existing data collection format according to the user's needs. The base station 20 sends an electronic file via the connection cradle 50 to the portable data terminal 30 in order to modify the data collection format. For purposes of modifying data collection format in the portable data terminal 30, the base station 20 includes a data input device 22 and a display screen 24 to allow the

user to change the data collection format in accordance with the needs of the users.

The base station **20** can be a Windows-based personal computer or any suitable data processing device. Preferably, the portable data terminal **30** is a hand-held data processing device using the PALM O/S, which is the operating system for a PALM PILOT, or other similar device. The base station **20** further includes a software program **26** compatible to the operating system of the portable data terminal **30** to enable communication between the portable data terminal **30** and the base station **20**.

After the portable data terminal **30** is turned on, it is possible for the user to select a desired function or program from the menu icons **37** or the application buttons **38**. In particular, the user can select the Receiving program or the Delivery program. It is also possible that the user selects a Manifest program in order to produce a manifest regarding the receipt and/or final disposition of an item.

The Receiving program captures information regarding the receipt of items. The program allows the user to collect and review data. The Receiving program also allows the user to delete records. Preferably, the data collection function operates in a continuous cycle, prompting for data to be placed into appropriate fields as defined by a specific Receiving script, until the user selects another application or program.

The Delivery program is used to record information regarding the internal movement resulting in the delivery or other final disposition status of the received items. This information can be exported to the data processing system **12** for proof of delivery, auditing, reporting and tracking. After an item or a group of items are delivered, it is preferred that the program prompts for delivery/group delivery information including a signature. After saving the delivery/group delivery data, the

program begins the data collection loop again.

Figure 2 shows an exemplary Receiving screen when the Receiving program is selected from the portable data terminal **30**. As shown, the Receiving screen **100** shows a company logo **90**, a product version number **92**, a screen title **96**, and the time of day **94**. Also shown on the screen **100** is a box **102** containing the name of a receiving data collection format. If the user wants to use the displayed data collection format **102** to collect receiving data, the user can press the Collect button **104** to reach a receiving data collection screen as shown in **Figures 6A and 6B**. If the user wants to use another data collection format to collect receiving data, the user can click on the box **102** to reach a Pick Format screen for Receiving, as shown in **Figure 3A**. However, if the user wishes to see a summary of information regarding a received or entered item, the user should select the Review option **106** to reach the Review Receipt screen as shown in **Figure 8**.

Figure 3A shows an exemplary listing of receiving data collection formats on the Pick Format screen **110**. As shown, there are four different receiving data collection formats, collectively denoted by reference numeral **112**, along with the descriptions **114** of the formats. If the user wants to return the Receiving screen (Figure 2), the Cancel button **122** should be pressed. If the user wants to select any one of the listed formats, the user can highlight the desired format by pressing the corresponding screen area and then press the Select button **126**. The Show button **124** is used to reach a Format Contents screen as shown in Figure 4. As shown in Figure 3A, one of the listed formats is a combination data collection format for both receiving and delivery, the \$TA_COMBO format.

Figure 3B shows the format contents of different Receiving data collection formats. As shown, each data collection format is a different combination of data

entry fields including: the name of the carrier, the name of the intended recipient (employee), the carrier barcode, the name of the sender, the PO number or Mail Stop number of the internal delivery address, and reference notes. The user can select one of the receiving data collection formats for recording the receipt of an item.

5

However, if the user wants to modify the existing collection formats or create one or more new collection formats, he or she can do so on the base station **20**, as described in conjunction with Figures 16 to 24. The modified or newly created collection formats can then be transferred from the base station **20** to the portable data terminal **20** via the connection cradle **50**.

10

Figure 4 shows a Format Contents screen for a receiving collection format called \$TA_RCV1. As shown, the title of the data collection format is denoted by numeral **132**. The list **134** of data entry items contains both entry items as shown in Figure 3B. The numerical figure **135** following each data entry item **134** is the number of positions on the data collection screen associated with the specific field element described in data entry item **134**. For example, the Carrier barcode field can be entered up to forty characters, while the Sender Name field can have four characters. These items are received from four different carriers, delivered to thirty recipients in eight different departments within the organization. The user may return to the previous screen by pressing the Return button **138** or reach a Format Details screen by pressing the Detail button **136**.

15

20

Figure 5 shows the Format Details screen **140**. The Format Details screen displays the data collection attributes of each of the fields shown on the screen. The attributes include:

25

Field - Name of the field within the data collection system that will be

populated with the collected data;

Sequence - The location on the data collection screen where this field will appear in relation to the other fields in the format;

5

Text Prompt - The user defined value which will be displayed when collecting data for this field;

Size - Number of characters allowed in the field;

10

Carry Forward - An indicator that the value of this field should be carried forwarded, and automatically displayed as the value to save for the next record collected;

15

Dups Allowed - when this box is checked, duplicate checking will not be employed for values entered into this field;

Required - When this box is checked, any entry must be supplied for this field on every record; and

20

Popup File - When this box is checked, this field displays a lookup table or popup list during data entry and the name of the lookup table or popup list is identified.

25

When the displaying screen **30** of the portable data terminal **30** shows a Receiving screen **100** as shown in Figure 2, the user may press the Collect button **104** to reach the Receive Parcel screen. As shown in Figures 6A and 6B, the Receive Parcel screen **150** is split into two halves because of the limited space of the

display area. The Receive Parcel screen **150** as shown in Figures 6A and 6B lists four data entry fields of the Receiving data collection format called \$TA_RCV1 as shown in Figure 3B. The data entry fields **152** include the carrier name, the sender name, the recipient name and the carrier barcode. The fields **152** can be selected by pressing the appropriate screen area. The currently selected field for data entry is shown with a mark **154**. After a field is selected, data can be entered using the on-screen keyboard (not shown). If the selected field is the carrier barcode, the laser scanner **40** of the portable data terminal **30** (Figure 1) can be used for data entry. If the carrier name is also contained in the barcode, then data in that field can also be entered using the scanner **40**.

If a paper icon **153** appears next to a field, a popup list is available for that field. If that is the case, data can be entered by using the on-screen keyboard or by selecting an item from the popup list. As shown, the paper icon **153** appears next to the carrier field and the employee name field. Thus, a popup list of carriers and a popup list of recipients can be accessed in order to select the carrier and the recipient from the respective lists. An example of the carrier popup list is shown in Figure 7.

In Figure 6A, the first half **150a** of the Receive Parcel screen also shows three buttons in the lower portion of the screen: Clear button **156**, Review button **158**, Save button **160** along with a page-down arrow **162**. The Clear button **156** is used to clear information from a selected field. The Review button **158** has the same function as the Review button **106** shown in Figure 2. The Save button **160** is used to save the information entered to a selected field. The page-down arrow **162** is used to access the second half **150b** of the Receive Parcel screen as shown in Figure 6B.

As shown in Figure 6B, the screen **150b** displays the fourth of the data entry

fields, which is the carrier barcode field. In both screens **150a** and **150b**, a Reset button **164** is provided to allow the user to restart the entry of information.

A Carrier screen **170** is shown in **Figure 7**. The screen **170** contains a popup list which includes a plurality of alphabetical symbols **171** along with the names **172** of the carriers. The screen **170** also has an Entry field **174** which allows the user to input a value to search for a particular carrier if the name of the carrier is not shown on the screen. The Cancel button **174** is used to return to the previous screen **150a**. The Select button **180** is used to select the carrier name to be entered onto the Carrier field on the Receive Parcel screen **150a**.

In order to add a new name on the popup list, the user can press the Add button **177** to reach an Add Record screen as shown in **Figure 9**.

By tapping or pressing the Review button **158** on the Receive Parcel screen **150a** (Figure 6A), the user can reach a Review Receipts screen **180**, as shown in **Figure 8**. The Review Receipts option is used to access a summary of the items that the user has processed (or entered). The amount of information for each transaction depends on the receiving format that the user is using and the amount of information entered. As shown, a review list **182** shows the symbol and the name of a carrier, while the review box **183** lists the details of the receipt information related to the carrier shown in the review list **182**. However, the review list is also used to show the employee name, the sender name, etc. The user can enter a value in the Entry field **184** to search for a particular record, such as a tracking number, a carrier name, or an employee name, to be shown in the review list **182**. A scroll bar **186** is used to scroll through the record related to the data item shown in the review list **182**. As shown, the record is related to a particular carrier.

If the user wants to add a record related to a particular carrier, the user can highlight the carrier on the popup list shown on Figure 7 and then tap the Add button **177**. An Add Record screen **190** is shown in Figure 9. As shown, there are three entry fields **192** on which the user can input data. The Save button is used to save the entered data to the record for the selected carrier. The Cancel button is used to cancel the entered information and return the previous screen (Figure 7).

The process for recording information regarding the receipt of items has been described with the description taken in conjunction with Figure 2 to Figure 9 above. The process for recording information regarding the internal delivery actively is explained in **Figures 10 to 14**.

If the Delivery program is selected from the display screen **34** shown in Figure 1, a Delivery screen as shown in Figure 10 appears. As shown in Figure 10, the Delivery screen **200** shows a delivery data collection format in the box **202**.

If the user wants to use the displayed data collection format **102** to collect delivery data, the user can press the Collect button **204** to reach a delivery data collection screen as shown in **Figure 12**.

If the user wants to use another data collection format to collect receiving data, a Pick Format screen for delivery as shown in Figure 11A can be reached by tapping the box **202**.

However, if the user wishes to see a summary of the items delivered, the user can select the Review option **204** to reach a Delivery List screen as shown in **Figure 14**.

Figure 11A shows an exemplary listing of the Delivery data collection formats on the Pick Format screen **210**. As shown, there are five different receiving data collection formats, collectively denoted by reference numeral **212**, along with the descriptions **214** of the formats. If the user wants to return the Delivery screen **200** (Figure 10), the Cancel button **222** should be pressed. If the user wants to select any one of the listed formats, the user can highlight the desired format and then press the Select button **226**. The Show button **224** is used to reach a Format Contents screen as shown in **Figure 4**.

Figure 11B shows the format contents of different Delivery data collection formats. As shown, each data collection format is a different combination of data entry fields including: the name of the carrier, the name of the intended recipient (employee), the carrier barcode, the name of the sender, and the person who actually signs for the delivered item. The user can select one of the delivery data collection formats for recording the delivery of an item.

The Parcel Delivery screen **250** shown in **Figure 12** lists two data entry fields **252**. The data entry fields **252** include the recipient name and the carrier barcode. Data can be entered using the on-screen keyboard (not shown) or the laser scanner **40** of the portable data terminal **30** (Figure 1). As shown in Figure 12, a paper icon **153** appears next to the Employee Name field. Thus, a popup list for the intended recipient is available for the user to select the Employee Name. In Figure 12, the display screen **250** also shows four buttons in the lower portion of the screen: a Clear button **253**, a List button **254**, a Record button **256**, and a Deliver button **256**. The Clear button **253** is used to clear information entered to the data entry fields **252**. The List button **254** has the same function as the Review button **206** shown in Figure 10. The Record button **160** is used to save the information entered to data entry fields **252**. The Delivered button **256** is used to reach a Complete Delivery screen

260 as shown in **Figure 13**.

The Complete Delivery screen **260** is used to record the name and the signature of the person who actual signs for the delivered item. The name is entered through the data entry field **262**, while the signature is entered through the data entry field **264**. The Cancel button **265** is used to cancel the entered data and return to the Parcel Delivery screen **250**, while the Save button **268** is used to save the entered delivery data.

In order to review the information currently recorded in the Delivery program, a Delivery List screen **280** can be reached by tapping the Review button **206** on the Delivery screen **202** (Figure 10), or the List button **254** on the Parcel Delivery screen **250** (Figure 12) or the Complete Delivery screen **260** (Figure 13). This option is used to provide a summary of the delivered items of which information is currently recorded in the Delivery program. The amount of information for each transaction depends on the receiving format the user is using and the amount of information entered. As shown in Figure 14, a review list **282** shows the symbol and the name of a recipient, while the review box **283** lists the details of the delivery information related to the recipient shown in the review list **282**. However, the review list **282** can also be used to shows the carrier name, the sender name, etc. The Remove button **286** is used to remove a record while the Add Parcel button **287** is used to add a record for a delivered item. The Return button **288** is used to return to the Complete Delivery screen **260** as shown in Figure 13.

Figure 15 shows a User Login screen **290**. This is a security feature for the portable data terminal **30** for preventing an unauthorized person from using the Receiving or the Delivery program. Preferably, when either program is selected, the User Login screen **290** appears. User data is then entered in the data entry field

292. The Login button **296** and the Logout button **284** are used for the specified functions.

If the user wants to create a new data collection format or modify an existing one, the user must use the base station **20**. Figure 16 shows an exemplary default window shown on the base station **20** after starting the creating/modifying program. As shown, the Configuration Utility window **300** has two options that are useful for the understanding of the present invention. The Format option **302** can be selected to create or modify the Receiving, Delivery or Combination data collection formats as shown in Figures 3B and 11B. The Popups option **304** can be selected to create or modify a popup list, as shown in Figure 7. The User option **306**, the Initialize option **308** and the Status option **310** are not part of the claimed invention and, therefore, their functions are not elaborated herein.

The data collection formats as created and modified in the base station **20** provide configuration information that the portable data terminal **30** uses to control prompts, prompt sequence and field attributes. When the Formats option **302** is selected, a Data Collection Format Generator window **320** appears on the display screen **24** of the base station **20** (Figure 1). The Data Collection Format Generator window **320** has three tabs. The General Tab **322** is used to create and modify scripts and formats. The Package Details Tab **324** is used to reach the Package Details window **360** as shown in Figure 20. The Delivery Details Tab **326** is used to reach the Delivery Details window **400** as shown in Figure 23.

As shown in Figure 17, the data can be entered through five data fields. The Format Code field **328** is used to identify the data collection format on the Pick Format screen **110** (Figure 3A) or **210** (Figure 11A). The Format Name field **330** is used to describe the format shown in the Format Code field **328**. The Format Type

field **332** is used to identify whether the data collection format is a Receiving type, a Delivery type or a Combination type. The Controlling Index field **336** is used to specify whether the record should be added or edited when transactions are conveyed from the portable data terminal **30** to the data processing unit **12**. The Controlling Index field **336** refers to a field in the database within the data processing unit **12** and this field is used as a lookup index key for processing updates from the import file from the data collector. Like the other fields on the window **320**, the controlling index field **336** is not part of the claimed invention. The code and the name of the data collection format that appear in the fields **328**, **330** also appear in the Title **338**.

The General window **320** also has a plurality of selection buttons. The Add button **340** is used to add a new data collection format. The Edit button **342** is used to modify the data collection format shown in the Format Type field **332**. The Delete button **344** is used to delete the collection format shown on the window. For example, if the \$TA_COMBO format as shown is deleted, this combination collection format will not appear in the Picket Format screens **110** and **210** as shown in Figures 3A and 11A. The List button **346** is used to select a data collection format from a Format list as shown in **Figure 18**. The Closed button **348** is used to exit the current window.

The Format list window **350** is shown in Figure 18. As shown, the window provides a list of existing data collection formats, including format codes **352** and format names **354**. Any one of the data collection formats can be selected for editing or reviewing. The OK button **356** is used to select a highlighted format, while the Cancel button **358** is used to return to the previous window as shown in Figure 17.

If the Add button **340** on the window **320** is clicked, a window **320** is displayed

with all the blank fields as shown in **Figure 19**. The user can create a new collection data format by giving it a code and a name. The Save button **347** is used to save the data entered to the fields **328**, **330**, **332** and **334**. The Cancel button **349** is used to cancel all the entered data and return to the window as shown in Figure17.

5

Package details are the data entry fields that define the actual received item. For example, the tracking number can be used as one of the fields. The data defined in the Package Details tab **324** (see also Figure 17) is used to determine which fields in the portable data terminal **30** will be updated with data regarding the movement or activity of the received item. The portable data terminal **30** uses the fields defined in the package details tab to describe the items which are being delivered. Up to nine fields, for example, are available in the Package Details tab for a specific format.

10

The Package Details window **360** is shown in **Figure 20**. Figure 20 shows an Entry/Edit window that allows the user to select fields which are to be assigned to the package section of data collection by the specified format. The window also allows the order of the collection fields to be revised. The available fields are listed on the window **362**. The selected fields for the specific format shown at the title **338** are listed on the window **364**.

15

20

The Properties button **368** on the Package Details window **360** is used to reach the Field Properties window **370** for Package Details, as shown in Figure 21. Through this window, the user can assign the 'Prompt Names' to the selected fields, and define attributes to each field to be used by the portable data terminal **30** when processing entries for the respective fields. As shown, the fields described in the Prompt column **372** will be displayed for each field of data to be collected, along with other attributes of each field (see Figure 5). The other attributes are Allow Duplicates **374**, Carry Forward **374**, Required **378**, Popup List **380** and Popup Script **382**.

25

The toggled button 'yes' or 'no' in **Figure 21** is corresponding to check box as shown in Figure 5. If a 'yes' is selected on the toggled button, the check box is checked. When a 'yes' is selected for the Carry Forward attribute, the value of this field should be carried forwarded, and automatically displayed as the value to save for the next record collected. Similarly, when a 'yes' is selected for the Allow Duplicates attribute, duplicate checking will not be employed for values entered into this field. When a 'yes' is selected for the Required attribute, any entry must be supplied for this field on every record. When a 'yes' is selected for the Popup List attribute, this field displays a lookup table or popup list during data entry and the name of the lookup table or popup list is identified. The Popup Script **382** is the name of the popup list of the corresponding attribute. The displayed field **388** is the name of the field within the data collection system that will be populated within the collected data as shown in **Figure 5**.

The Delivery Details window **390** is shown in **Figure 22**. The Delivery Details window **390** is similar to the Package Details window **360**. However, the fields specified in the Delivery Details Window **390** are related to the 'Delivery' event activities associated with the final disposition of the receipt item. The available fields are listed on the window **392**. The selected fields for the specific format shown at the title **338** are listed on the window **394**.

The Properties button **398** on the Delivery Details window **390** is used to reach the Field Properties window **400** for Delivery Details, as shown in **Figure 23**. The contents in this window is similar to the contents in the window shown in **Figure 21**. However, the contents in this window are related to the 'Delivery' event activities associated with the final disposition of the receipt item.

A Popup List Format General window **420** can be accessed using the Popups button **304** as shown in **Figure 16**. It should be noted that this window is used to create an empty list so that the user can fill in the items on the list using the portable data terminal **30**. The modification of the popup list itself is described in conjunction
5 with Figure 7.

Thus, what has been described is a portable data terminal which can be used to record data for the receipt of items and the status information related to the internal delivery or other any final disposition of the received items. The data
10 collection formats are used for data collection and can be created and/or modified by the user according to the user's needs. The creation and/or modification of the data collection formats are carried out in a base station. The base station is capable of communicating with the portable data terminal in order to modify the data collection
15 formats. Preferably, the portable data terminal is capable of communicating with a data processing unit in order to send the data recorded in the portable data terminal to the database in the data processing unit.

Although the invention has been described with respect to a preferred embodiment thereof, it will be understood by those skilled in the art that the foregoing
20 and various other changes, omissions and deviations in the form and detail thereof may be made without departing from the spirit and scope of this invention.

What is claimed is:

1. A system for tracking receipt and internal movement resulting in a delivery or other final disposition status of items such as packages within an organization, wherein each item is sent by a sender and received from a carrier to be delivered to a recipient, said system comprising:
 - a) a portable data terminal programmed to:
 - (i) record information regarding the receipt of the item, and
 - (ii) record information regarding the internal movement of the item wherein the receipt information and the internal movement information are recorded with a data collection format; and
 - b) a base station capable of communicating with the portable data terminal for uploading electronic files thereto in order to modify the data collection format.
2. The system of claim 1, wherein the system is configurable so as to allow a user to use the base station to modify the data collection format according to the user's needs.
3. The system of claim 1, further comprising a data processing unit capable of communicating with the portable data terminal, wherein the data processing unit is programmed to
 - (a) maintain a database of records relating to the received items, each of said records identifying an internal delivery address and internal movement

status for a corresponding one of said received items;

(b) maintain a database of recipient names; and

(c) generate a manifest of selected ones of said received items.

4. The system of claim 3, wherein the data processing unit is further programmed to maintain a database of sender names, and carrier names related to said received items.

5. The system of claim 3, wherein the data processing unit is further programmed to provide status information related to said received items through searches, displays, lists, reports and other query and reporting elements.

6. The system of claim 1, wherein the portable data terminal is further programmed to associate the receipt of items with the recipients, the senders and the carriers.

7. The system of claim 1, wherein the portable data terminal comprises:

(a) a display device to display information regarding the receipt and the internal movement of items;

(b) an inputting device to input information regarding the receipt and the internal movement of items; and

(c) a communication device to communicate with the base station.

8. The system of claim 7, wherein the received items contain a barcode to identify the items and the inputting device includes a barcode reader to read the barcode.

9. The system of claim 7, wherein the information displayed on the display device includes a popup list having entry items in order for a user to enter into the portable data terminal information regarding the receipt and the internal movement of an item by selecting the entry item from the popup list.
10. The system of claim 7, wherein the display device displays a plurality of entry fields to allow a user to enter into the portable data terminal information regarding the receipt and the internal movement of an item through the entry fields.
11. The system of claim 1, further comprising a communication medium so as to allow the portable data terminal to communicate with the base station via the communication medium.
12. The system of claim 13, further comprising a connection cradle so as to allow the portable data terminal to communicate with the data processing unit via the connection cradle.
13. A portable data terminal for tracking receipt and internal movement resulting in a delivery or other final disposition status of items such as packages within an organization, said data terminal comprising:
 - (a) a display device to display information regarding the receipt and the internal movement of items; and
 - (b) an inputting device to input information regarding the receipt and the internal movement of items;wherein said data terminal is programmed to:

- (c) record information regarding the receipt and the internal movement of items, and wherein the information regarding the receipt and the internal movement of items is inputted with a data collection format which can be created and/or modified by a user according to the user's needs.
14. The portable data terminal of claim 13, further comprising a communication device so as to allow the portable data terminal to communicate with an external device for exchanging electronic files.
15. The portable data terminal of claim 14, wherein the external device is configurable so as to allow the user to create or modify the data collection format at the external device and to upload electronic files therefrom to the portable data terminal in order to modify the data collection format.
16. The portable data terminal of claim 14, wherein the external device is programmed to:
- (a) receive information regarding the receipt and the internal movement of the items from the portable data terminal;
 - (b) maintain a database of records related to the received items, each of said records identifying an internal delivery address and internal movement status for a corresponding one of said received items; and
 - (c) generate a manifest of selected ones of said received items.
17. The portable data terminal of claim 13, wherein the received items include a barcode for identifying the items and wherein the inputting device includes a barcode reader to read the barcode.

18. The portable data terminal of claim 13, wherein the information displayed on the display device includes a popup list having entry items in order for the user to enter into the portable data terminal information regarding the receipt and the internal movement of an item by selecting the entry item from the popup list.
19. The portable data terminal of claim 13, wherein the display device displays at least one entry field in order to allow the user to enter into the portable data terminal information regarding the receipt and the internal movement of an item through the entry field.
20. The portable data terminal of claim 17, wherein the data collection format includes a selection of entry items and the entry items include the barcode, the carrier, the sender, and the recipient and wherein the selection of entry items is carried out by the user according to the user's needs.
21. The portable data terminal of claim 20, wherein the entry items further include a PO number of the recipient, a package ID and an internal delivery address of the received item.
22. A method for tracking receipt and internal movement resulting in a delivery or other final disposition status of an item within an organization, wherein the item is sent by a sender and received by a carrier to be delivered to a recipient, said method comprising the steps of:
 - (a) recording information regarding the receipt of the item, and
 - (b) recording information regarding the internal movement of the item;

wherein the receipt information and the internal movement information are recorded with a data collection format which is upgradable in order to allow the user to change the data format according to the user's.

23. The method of claim 22, wherein

- (a) the items include a barcode or a tracking number to identifying the items;
- (b) the data collection format includes a selection of entry items and wherein the entry items include the barcode, the carrier, the sender, and the recipient; and
- (c) the selection of entry items is carried out by the user according to the user's needs.

24. The method of claim 23, wherein the entry items further include a PO number of the recipient, a package ID and an internal delivery address of the received item.

**METHOD AND SYSTEM FOR TRACKING DISPOSITION STATUS
OF AN ITEM TO BE DELIVERED WITHIN AN ORGANIZATION**

Abstract of the Disclosure

5

A method and system for tracking the receipt and the internal movement of items such as packages, parcels and other accountable items within an organization such as a corporation, hospital or school. The system comprises a portable data terminal programmed to record information regarding the receipt and the internal movement of the items in a data collection format, wherein the data collection format can be created and/or modified by the user according to the user's needs. For that purpose, the system further comprises a base station to allow the user to create and/or modify the data collection format. The base station is capable of communicating with the portable data terminal for unloading electronic files to the portable data terminal in order to modify the data collection format.

10

15

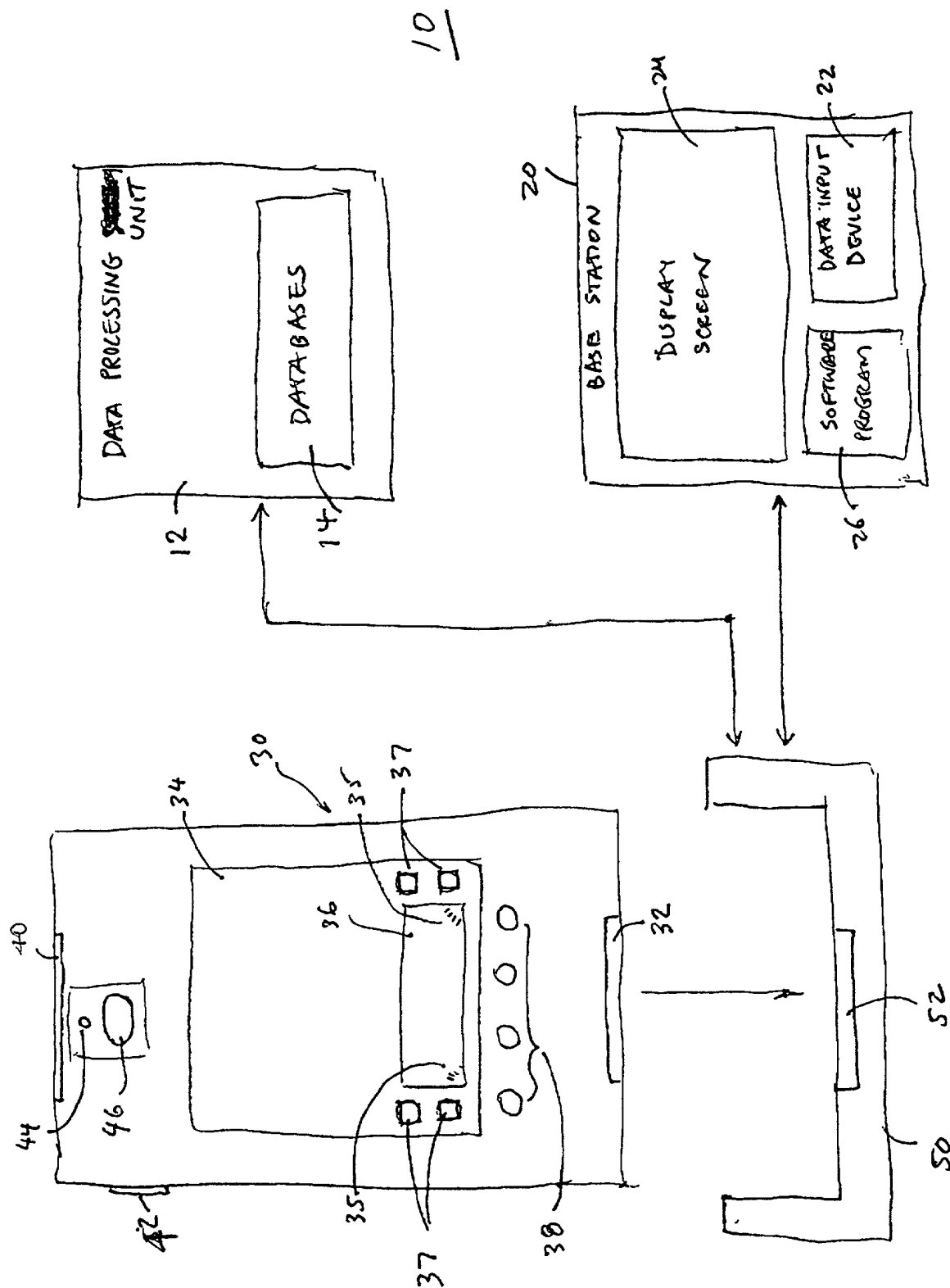


FIG. 1

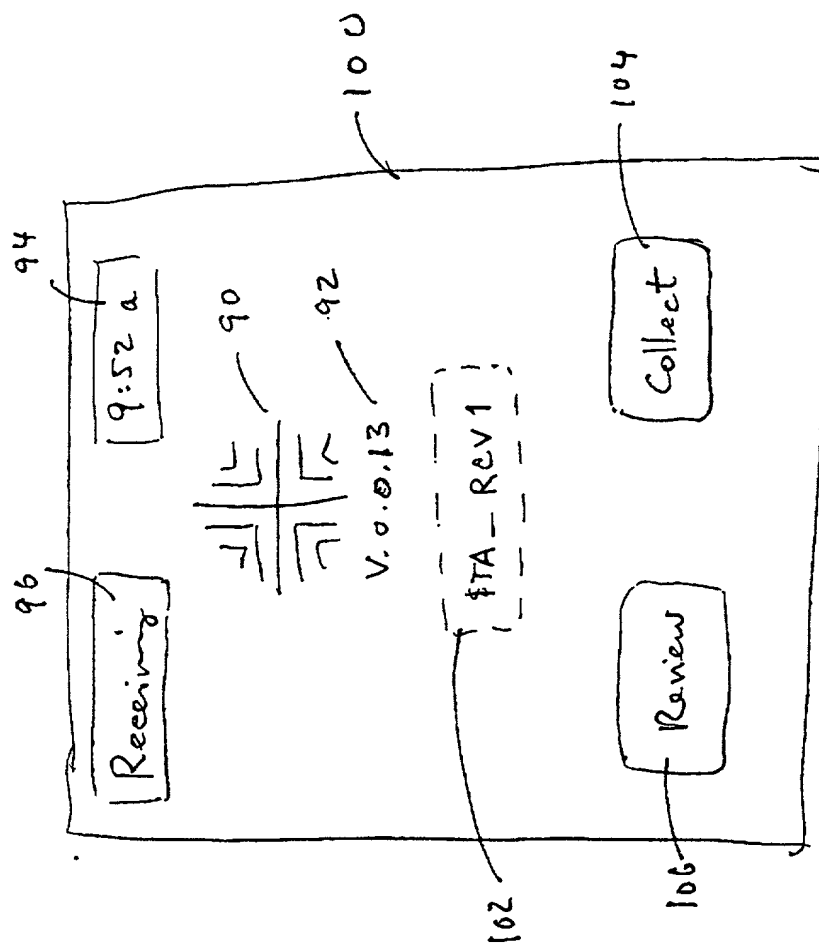


Fig 2

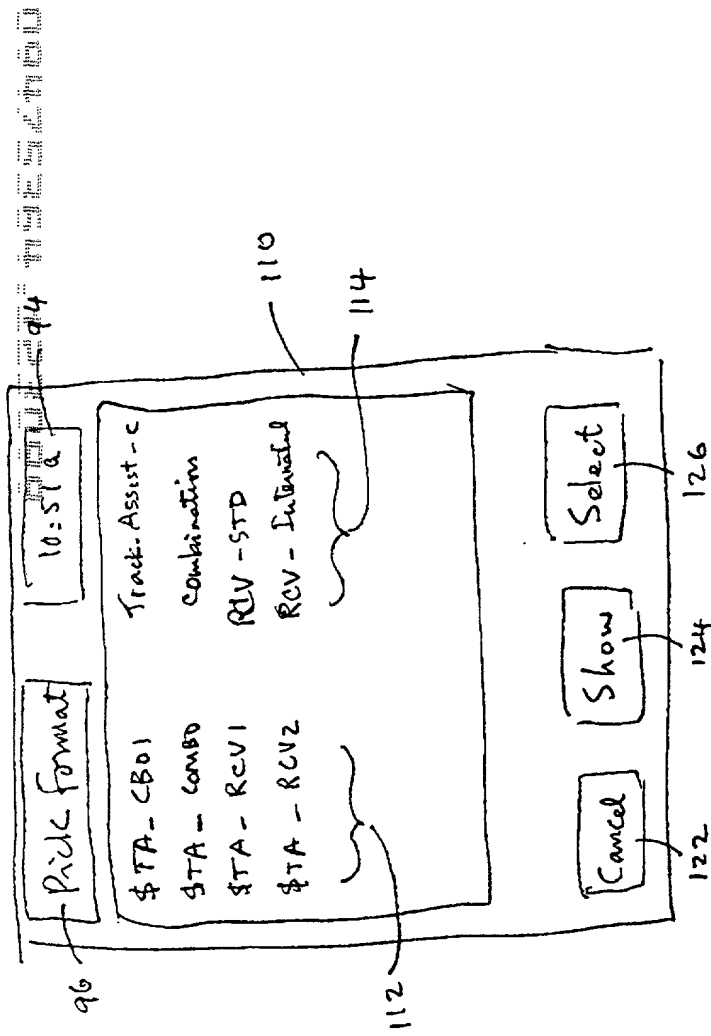


Fig 3A

Fig 3B

<u>RCV2</u>	<u>RCV1</u>	<u>RCV3</u>	<u>RCVPO</u>	<u>RCVQCK</u>
Carrier	Carrier	Carrier	Carrier Barcode	Carrier
Employee Name	Sender Name	Carrier Barcode	Carrier	Carrier Barcode
Carrier Barcode	Employee Name	Employee Name	Sender Name	
	Carrier Barcode		PO Number	
			Employee Name	
			Ref. Notes	

96

Format Contents

132 TA_REV1

Carrier Barcode	C 40
Carrier	C 4
Employee Name	C 30
Sender Name	C 4

130

134

135

Detail

136

Return

138

FIG. 4

96

Format Details

Field : UTEXT6

Sequence# : 1

Text Prompt

Carrier Barcode

Size: 40 ☐ Carry Forward

☐ Dups Allowed ☐ Required

☐ Popup file

Return

140

142

FIG. 5

96

94

Receive Parcel Pg 1 of 2 9:56:04 a

164

Total: 0 Current: 0 Reset

153

Carrier

150a

Sender Name

153

Employee Name

156

clear

158

Review

160

Save

162

➔

FIG. 6A

96

94

Receive Parcel Pg 2 of 2 9:57:47 a

164

Total: 0 Current: 0 Reset

154

Carrier

150b

FIG. 6B

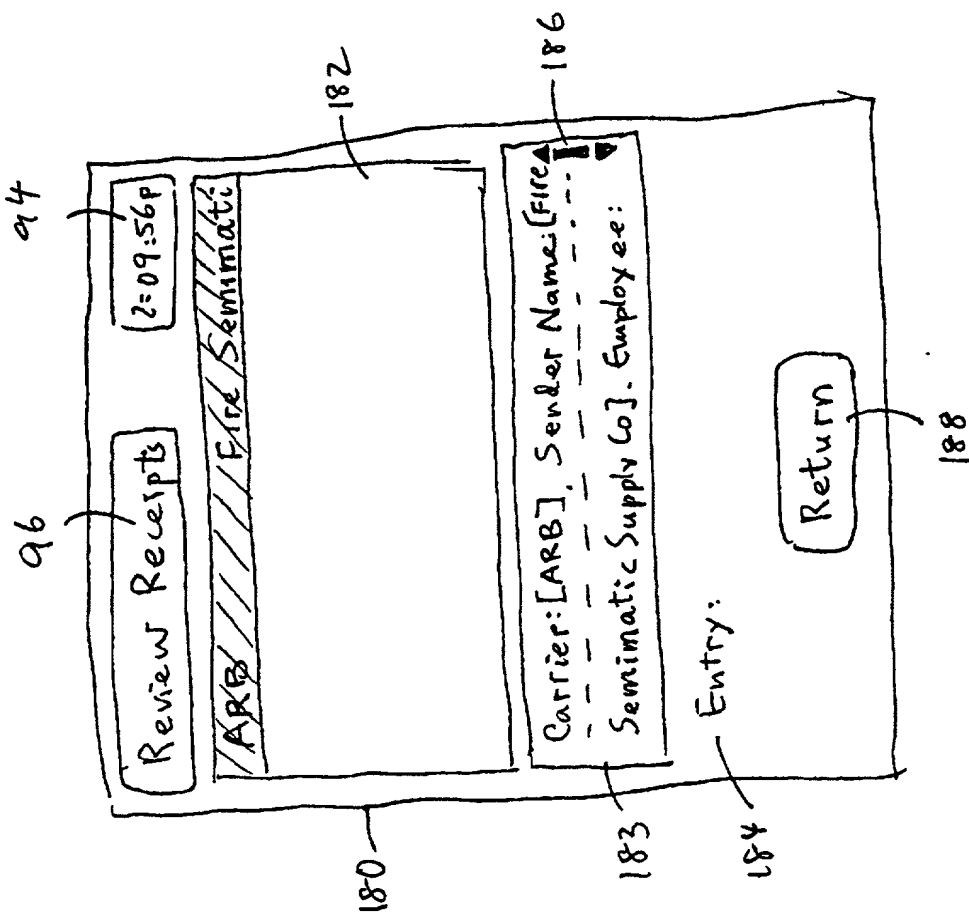


FIG. 8

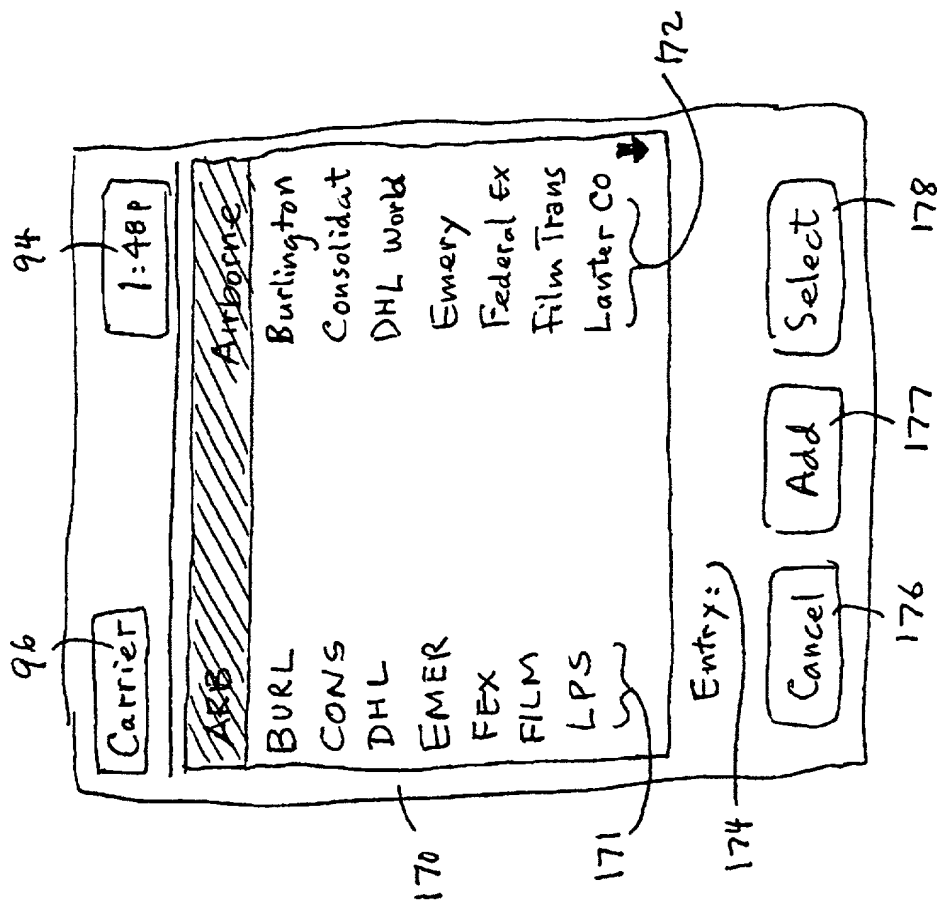


FIG. 7

94

96

8:36:51 a

154

Sender Name

Column 2

Column 3

192

Cancel

Save

194

196

197

FIG. 9

94

96

8:13:31 a

200

202

V.0.0.17

\$TA_COMBO

Review

Collect

206

204

FIG. 10

FIG. 11A

96 Pick Format 94 3:17:35 p

212 \$TA_COMBO \$TA_DLVDEM \$TA_DLV2 \$TA_DLVQCK \$TA_DLV1

214 TA - Delivery (Package)
TA - Delivery (Piece ID)
TA - Delivery (Quick)
TA - Delivery (Standard)

222 Cancel 224 Show 226 Select

DLV1
Employee Name
Carrier Barcode
Delivered To

DLVQCK
Tracking Number
Delivered To:

DLV2
Package ID
Delivered To:

DLVDEM
Carrier Barcode
Employee Name
Sender Name

Combo
Carrier Barcode
Carrier
Employee Name

PO Number

Fig 11B

96

Parcel Delivery Pg 1 of 1 8:48:35 a 94

Total Delivered: 0 Current: 0

Employee Name 252

Carrier Barcode 250

153

253

Clear

254

List

255

Record

256

Deliver

FIG. 12

96

Complete Delivery 94

Parcels This Delivery: 1

Delivered To: 262

260

264

266

Cancel

254

List

268

Save

FIG. 13

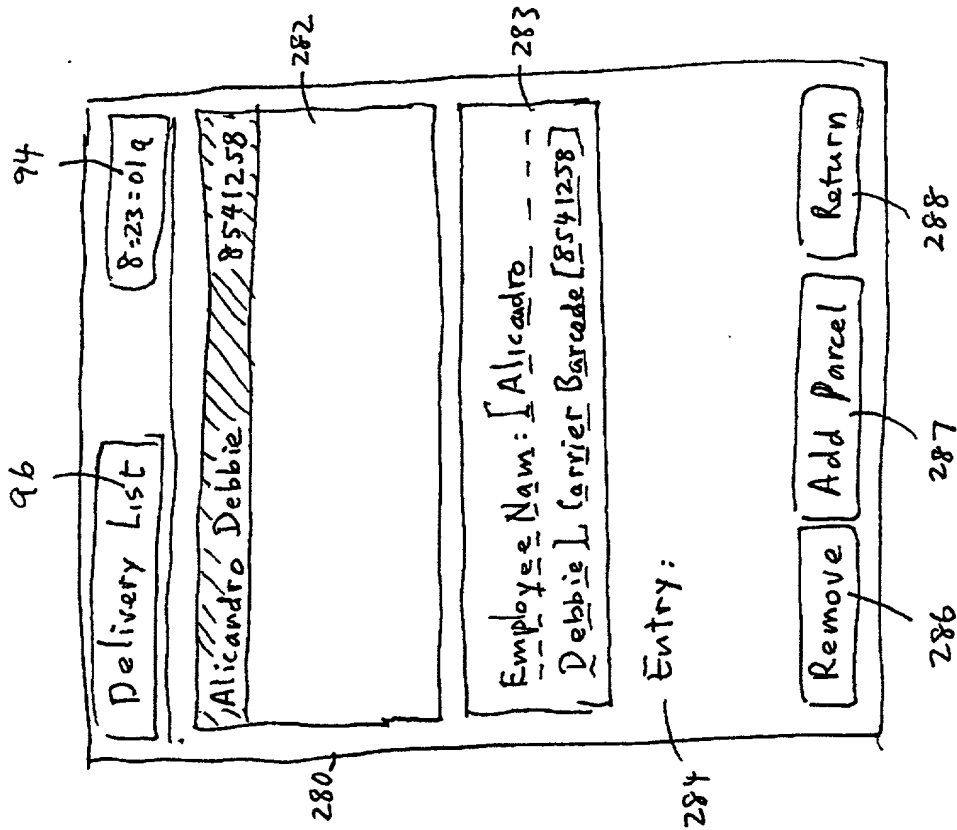


FIG. 14

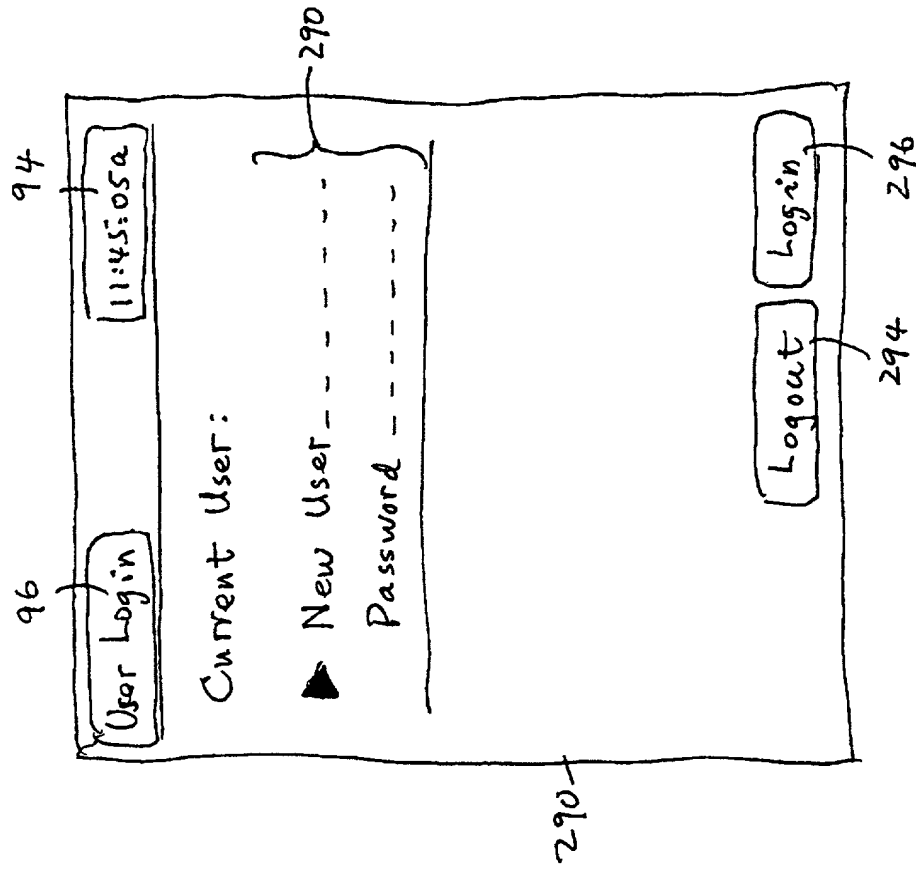


FIG. 15

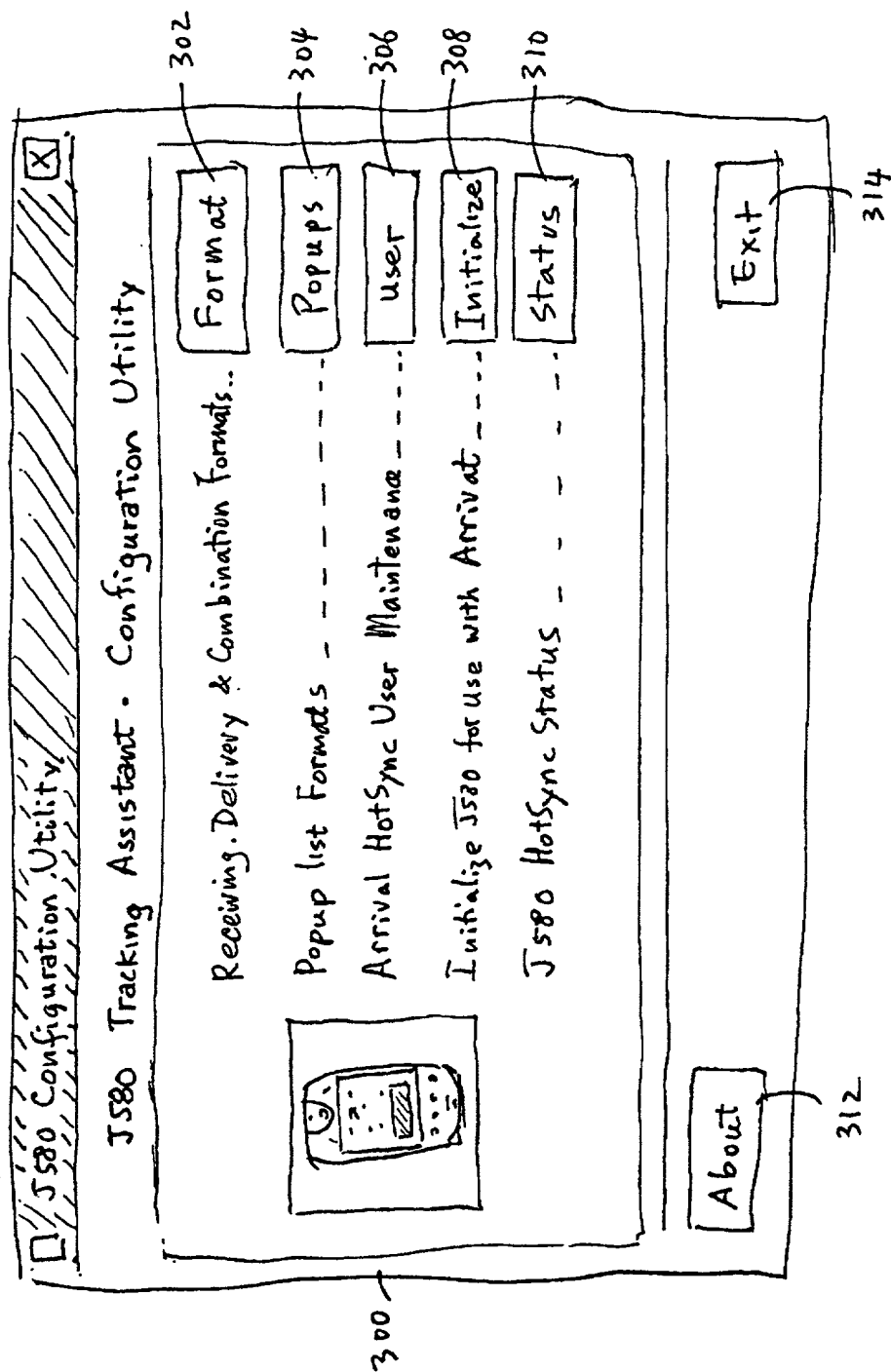
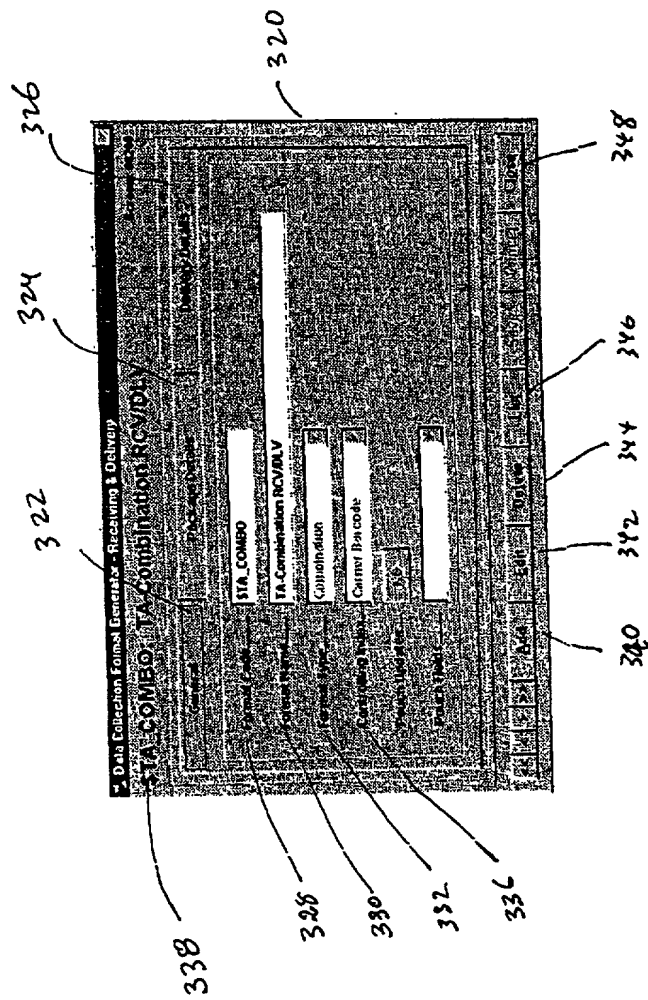


FIG. 16



71817

350

Formal List

STA_COMBO	TA-Combination RCV/DLV
STA_DLVI	TA-Delivery (Standard)
STA_DLVI	TA-Delivery (Place ID)
STA_DLVIDEM	TA-Delivery (Package Dls)
STA_DLVOCK	TA-Delivery (Quick)
STA_RCV1	TA-Receive (Car/Std/Emp/Trk)
STA_RCV2	TA-Receive (Car/Emp/Trk)
STA_RCV3	TA-Receive (Car/Trk/Emp)
STA_RCVPO	TA-Receive (Purchase Orders)

352 354 356 358

OK Cancel

FIG. 18

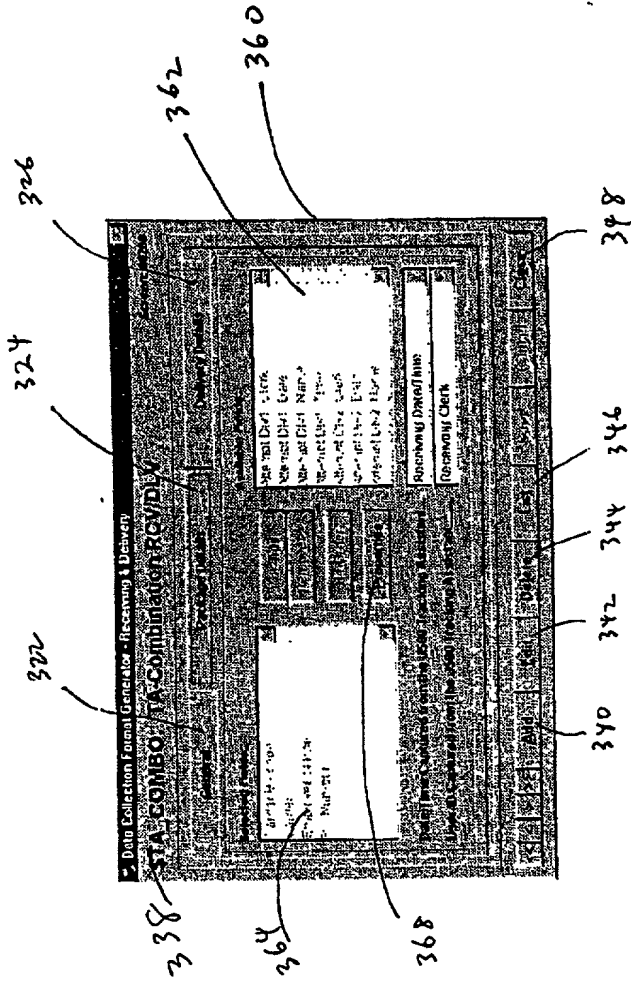


Fig 20

372 374 376 378 380 382

370

388 389 384 385 386

Field Properties - Package Details

Field Name	Field Type	Field Format	Field Length	Field Width	Field Position	Field Status
CARRIER	Text	Y	10	10	1	Y
Carrier	Text	Y	10	10	1	Y
Employee Name	Text	Y	20	20	11	Y
PO Number	Text	Y	10	10	31	Y
ST1A_CAR	Text	Y	10	10	1	Y
ST1A_EMP	Text	Y	10	10	11	Y
ST1A_PO	Text	Y	10	10	31	Y

388 389

Fig 21

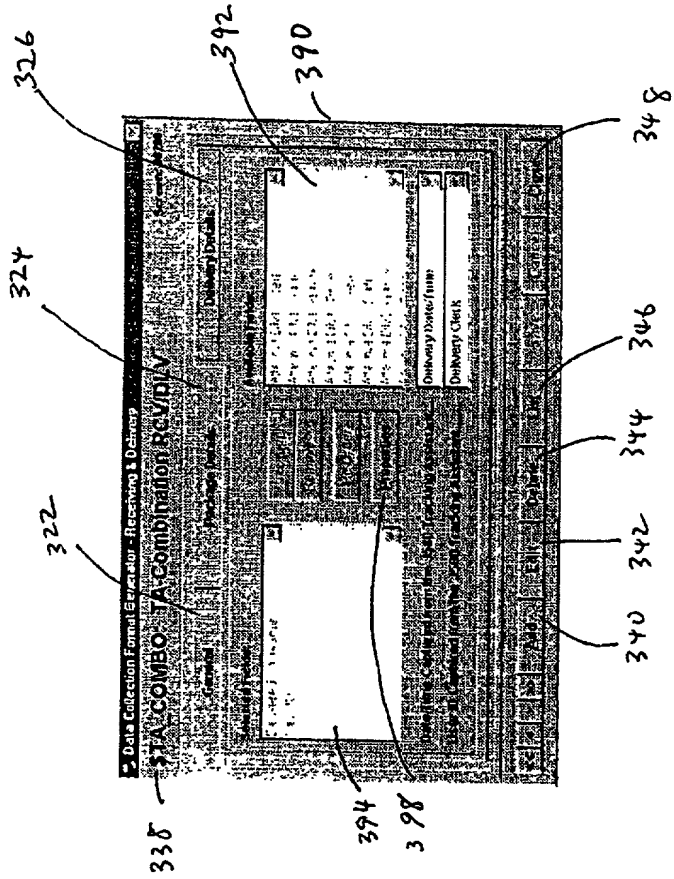


FIG. 23 is a screenshot of a computer screen displaying a web application interface for editing field properties.

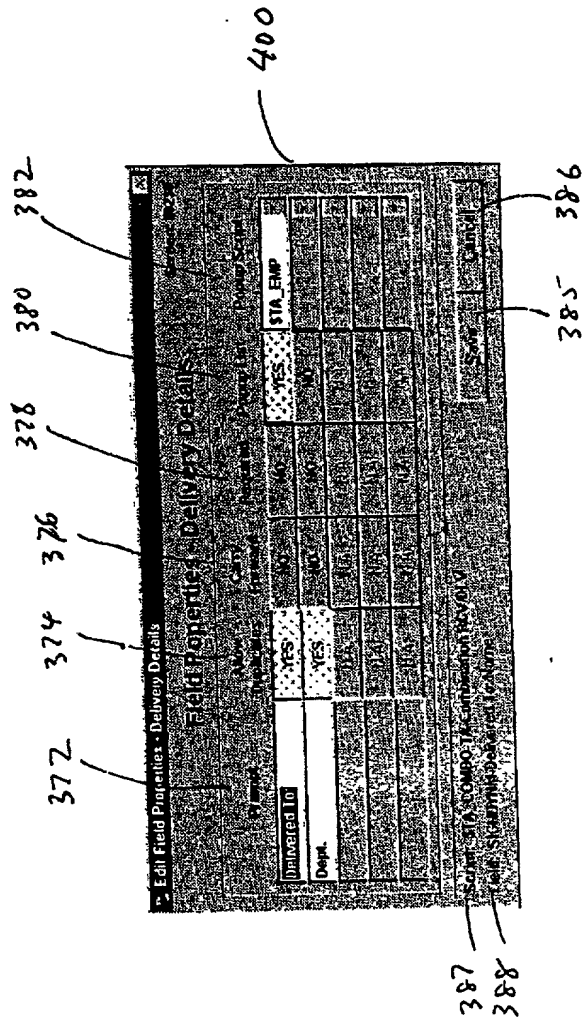


Fig 23

五

~~250~~ 420

24

DECLARATION AND POWER OF ATTORNEY
Patent Application

Attorney's Docket Number
E-906

Page 1 of 3

As below named inventors, we hereby declare that:

our residences, post office addresses and citizenships are as stated below next to our names;

we believe that we are the original, first and joint inventors of the subject matter which is claimed and for which a patent is sought on the invention entitled

**METHOD AND SYSTEM FOR TRACKING DISPOSITION STATUS OF
AN ITEM TO BE DELIVERED WITHIN AN ORGANIZATION**

described and claimed in the attached specification;

we have reviewed and understand the contents of the above-identified specification, including the claims;

we acknowledge our duty to disclose to the Patent and Trademark Office all information known to us to be material to the patentability of this application as defined in Title 37, Code of Federal Regulations, section 1.56; and

we do not know and do not believe the invention was ever known or used in the United States of America before our invention thereof, or patented or described in any printed publication in any country before our invention thereof or more than one year prior to this application, that the invention was not in public use or on sale in the United States of America more than one year prior to this application, that the invention has not been patented or made the subject of an inventor's certificate issued before the date of this application in any country foreign to the United States of America on any application filed by us or our legal representatives or assigns more than twelve months prior to this application, and that no application for patent or inventor's certificate on this invention has been filed in any country foreign to the United States of America prior to this application by us or our legal representatives or assigns.

We hereby appoint the following attorneys and/or agents to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith;

Charles R. Malandra, Jr.
Michael E. Melton
David E. Pitchenik
Robert E. Meyer

Reg. No. 31,038
Reg. No. 32,276
Reg. No. 24,020
Reg. No. 26,307

DECLARATION AND POWER OF ATTORNEY

Patent Application

Attorney's Docket Number

E-906

Page 2 of 3

Address all telephone calls to Charles R. Malandra, Jr.
at telephone No.: (203) 924-3217.

Address all correspondence to:

Charles R. Malandra, Jr.
Pitney Bowes Inc.
Intellectual Property and
Technology Law Department
35 Waterview Drive
P.O. Box 3000
Shelton, CT 06484

We hereby declare that all statements made herein of our own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full Name	Last Mather	First Raymond	Middle G.	
Residence & Citizenship	City Southbury	State CT	Citizenship USA	
Post Office Address	Street Address 54 Watch Hill Dr.	City Southbury	State CT	Zip Code 06488
Signature of Inventor			Date	

FULL NAME	Last Carella	First Paul	Middle	
Residence & Citizenship	City	State	Citizenship	
Post Office Address	Street Address	City	State	Zip Code
Signature of Inventor			Date	

DECLARATION AND POWER OF ATTORNEY Patent Application			Attorney's Docket Number E-906	
Page 3 of 3				
FULL NAME	Last Sun	First Shulong	Middle	
Residence & Citizenship	City		State	Citizenship
Post Office Address	Street Address	City	State	Zip Code
Signature of Inventor				Date

FULL NAME	Last Thursland	First Arthur	Middle	
Residence & Citizenship	City		State	Citizenship
Post Office Address	Street Address	City	State	Zip Code
Signature of Inventor				Date